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PTO/SB/05 (03-01)

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 30435.53USD2

First Inventor Charles L. Sawyer

Title MICE MODELS OF HUMAN CANCER PROGRESSION

Express Mail Label No. ET842493759US

12/14/01
S-15
PTO
12/14/01**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification [Total Pages 43]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 10]
- Oath or Declaration [Total Pages 12]
 - Newly executed (original or copy)
 - Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

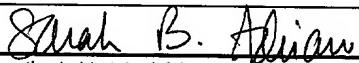
of prior application No. 09 / 567202

Group Art Unit: 1632

Prior application information: Examiner Thaian Ton

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

| | | |
|--|---|--|
| <input type="checkbox"/> Customer Number or Bar Code Label | 26,941 | or <input type="checkbox"/> Correspondence address below |
| Name _____ | | |
| Address _____ | | |
| City _____ | State _____ | Zip Code _____ |
| Country _____ | Telephone _____ | Fax _____ |
| Name (Print/Type) | Sarah B. Adriano | Registration No. (Attorney/Agent) 34,470 |
| Signature |  Date 12/14/01 | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| DOCKET NUMBER | ANTICIPATED CLASSIFICATION OF THIS APPLICATION: | | PRIOR APPLICATION | |
|---------------|---|----------|-------------------|----------|
| | CLASS | SUBCLASS | EXAMINER | ART UNIT |
| 30435.53USD2 | Unknown | Unknown | Thaian N. Ton | 1632 |

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: ET842493759US
 Date of Deposit: December 14, 2001

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

By _____
 Name: Tracy Truick

DIVISIONAL APPLICATION UNDER 37 C.F.R. § 1.53(b)

Assistant Commissioner for Patents
 Washington, DC 20231

Dear Sir:

This is a request for filing a divisional application under 37 CFR § 1.53(b) of Serial No. 09/567,202, filed on May 8, 2000 entitled MICE MODELS OF HUMAN PROSTATE CANCER PROGRESSION by the following inventor(s):

| | | | |
|--------------------------|---|--|--|
| Full Name Of Inventor | Family Name Sawyers | First Given Name Charles | Second Given Name L. |
| Residence & Citizenship | City Los Angeles | State or Foreign Country California | Country of Citizenship US |
| Post Office Address | Post Office Address 177 S. Westgate Avenue | City Los Angeles | State & Zip Code/Country California 90049/USA |
| Full Name Of Inventor | Family Name Klein | First Given Name Karen | Second Given Name A. |
| Residence & Citizenship | City Los Angeles | State or Foreign Country California | Country of Citizenship US |
| Post Office Address | Post Office Address 174 Denslow Avenue | City Los Angeles | State & Zip Code/Country California 90049/USA |
| Full Name Of Inventor | Family Name Witte | First Given Name Owen | Second Given Name N. |
| Residence & Citizenship | City Sherman Oaks | State or Foreign Country California | Country of Citizenship US |
| Post Office Address | Post Office Address 14727 Sutton Drive | City Sherman Oaks | State & Zip Code/Country California 91403/USA |
| Full Name Of Inventor | Family Name Reiter | First Given Name Robert | Second Given Name E. |
| Residence & Citizenship | City Los Angeles | State or Foreign Country California | Country of Citizenship US |
| Post Office Address | Post Office Address 10511 Kinnard Avenue | City Los Angeles | State & Zip Code/Country California 90024/USA |

1. Enclosed is a true and correct copy of the prior application; including the specification, claims, drawings, oath or declaration showing the applicant's signature, and any amendments referred to in the oath or declaration filed to complete the prior application. (It is noted that no amendments referred to in the oath or declaration filed to

complete the prior application introduced new matter therein.) The copy of the prior application is as follows: 39 pages of specification, 20 claims, 1 page of abstract, 10 sheets of drawings, and 12 pages of oath or declaration.

2. Cancel in this application original claims 2-20 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
3. The filing fee is calculated below:

CLAIMS AS FILED

| NUMBER FILED | NUMBER EXTRA | RATE | Fee |
|-----------------------------------|--------------|--------------------------------|-----------------|
| TOTAL CLAIMS: 1 -20 | 0 | x \$9.00 | \$0.00 |
| INDEPENDENT CLAIMS 1 -3 | 0 | x \$42.00 | \$0.00 |
| | | BASIC FILING FEE: | \$370.00 |
| | | MULTIPLE DEPENDENT FEE: | \$0.00 |
| | | TOTAL FILING FEE: | \$370.00 |

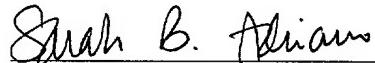
- Applicants claim small entity.
- Payment of fees:
 Attached is a check in the amount of \$370.00 filing fee.
 Please charge Deposit Account No. 50-0306.
- The Commissioner is hereby authorized to charge any additional fees as set forth in 37 CFR §§ 1.16 to 1.18 which may be required by this paper or credit any overpayment to Account No. 50-0306.
- Amend the specification by inserting before the first line the sentence:

"This application is a Divisional application of U.S. Serial No. 09/567,202, filed May 8, 2000, which is a Divisional application of U.S. Serial No. 08/951,143 filed October 15, 1997, which is Continuation-in-part of U.S. Serial No. 08/732,676, filed October 15, 1996. The contents of all the foregoing applications are incorporated by reference into the present application."
7. A set of formal drawings (____ sheets) is enclosed.
 8. Priority of application Serial No. _____, filed on _____ in _____, is claimed under 35 U.S.C. 119.
 9. The certified copy has been filed in prior application Serial No. _____, filed _____.
 10. The prior application is assigned of record to The Regents of the University of California.
 10. The Power of Attorney in the prior application is to:

Mandel & Adriano
35 N. Arroyo Parkway, Suite 60
Pasadena, California 91103

11. A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
11. Fee for excess claims is attached.
12. A petition and fee has been filed to extend the term in the prior application until _____. A copy of the petition for extension of time in the prior application is attached.
13. The inventor(s) in this application are less than those named in the prior application and it is requested that the following inventors identified above for the prior application be deleted:
14. Also Enclosed: Utility Patent Application Transmittal and Fee Transmittal for FY 2002, both in duplicate
15. Address all future communications to the **Attention of Sarah B. Adriano** (may only be completed by attorney or agent of record) at the address below.
16. A return postcard is enclosed.

Respectfully submitted,



Sarah B. Adriano
Attorney for Applicants
Mandel & Adriano
35 No. Arroyo Parkway, Suite 60
Pasadena, California 91103
626/395-7801
Customer No. 26,941